



D. Dimension Formula:

Recall: we have a decomposition of  $\mathcal{M}_m$  into irreducible  $M_p(2, \mathbb{O})$ -submodules of  $\mathcal{M}_m$ :

$$\mathcal{M}_m = \bigoplus_{\substack{I=(d) \in P \\ I^2 | m\bar{d}}} \bigoplus_{\substack{X \in \hat{\mathcal{O}}_m \\ d^2}} \mathcal{M}_m^{new, X} | U_d \quad (*)$$

It still remains to show that they are irreducible.

From now on we ~~still~~ restrict to the case of class number 1 ~~case~~. (most of the results hold for also class number  $> 1$  for simplicity we restrict to  $C(K)=1$ )

Lemma: There are exactly  $\sigma(m\bar{d}) := \sum_{d \in P} 1$   $M_p(2, \mathbb{O})$ -submodules of  $\mathcal{M}_m$  in the decomposition (\*).

Proof: elementary number theory.

Theorem: The number of irreducible  $M_p(2, \mathbb{O})$ -modules are  $\leq \sigma(m\bar{d})$ .

Pf: It uses the theory of Weil representations that we can not represent now.

Consequence: The  $\mathcal{M}_m^{new, X}$  are irreducible <sup>if none of the spaces is zero</sup>.   
 → but from the dimension formula which we will represent now, we see that <sup>some of the spaces are 0</sup> some of the  $\mathcal{M}_m^{new, X}$  are zero.   
 Hence the decomposition in (\*) is a decomposition into irreducible  $M_p(2, \mathbb{O})$ -submodules.

Theorem: let  $[K:\mathbb{Q}] = n$ . We have

$$\dim \mathcal{M}_m^{new, X} = 2^n N(m\bar{d}) \prod_{p|m\bar{d}} \frac{1}{2} \left( 1 + \frac{M_p(p)}{N(p)} \right) \prod_{p^4 | m\bar{d}} \frac{1}{2} \left( 1 - \frac{1}{N(p^2)} \right)$$



# 同济大学 教师备课用纸

Here  $\mu_f(p) := \mu(\gcd(f, p))$ , where  $f$  is the square free divisor of  $m$  s.t.  $\chi(\mathcal{E}) = \mu_f(\frac{\mathcal{E}'}{2})$

Note that  $\mu$  is the Mobius function of  $K$  (ie:  $\mu(I) = \begin{cases} (-1)^{\#\mathcal{P}|I} & \text{if } I \text{ is square free} \\ 0 & \text{otherwise} \end{cases}$ )

Proof:  $\dim \mathcal{Z}_{hm}^{new, \chi}$ : multiplicity of  $\chi$  in the character of the  $\mathcal{O}_m$ -module  $\mathcal{Z}_{hm}^{new}$ .

(in a decomposition into irreducible submodules we count the submodules whose character is  $\chi$ , this number is called the multiplicity of  $\chi$ )

By orthogonality relations of we find hence,

$$(1) \dim \mathcal{Z}_{hm}^{new, \chi} = \frac{1}{|\mathcal{O}_m|} \sum_{\mathcal{E} \in \mathcal{O}_m} \overline{\chi(\mathcal{E})} \text{tr}(\mathcal{E}, \mathcal{Z}_{hm}^{new}).$$

Hence now we want to calculate  $\text{tr}(\mathcal{E}, \mathcal{Z}_{hm}^{new})$ .

Claim:  $\text{tr}(\mathcal{E}, \mathcal{Z}_{hm}^{new}) = \sum_{\substack{I=(d) \in \mathcal{P} \\ I^2 | m}} \mu(I) \text{tr}(\mathcal{E}, \mathcal{Z}_{hm/d^2})$ .

$\rightarrow$  we have  $\text{tr}(\mathcal{E}, \mathcal{Z}_{hm}) = \sum_{\substack{I=(d) \in \mathcal{P} \\ I^2 | m}} \text{tr}(\mathcal{E}, \mathcal{Z}_{hm/d^2})$ .

Note  $\mathcal{O}_m$  also acts on  $\mathcal{Z}_{hm/d^2}$  via:

$$\left( \begin{matrix} \mathcal{E} \\ \mathcal{O}_m \end{matrix}, \begin{matrix} \mathcal{V} \\ \mathcal{Z}_{hm/d^2} \end{matrix} \right) \mapsto \mathcal{E}\mathcal{V} := \text{red}(\mathcal{E}) \cdot \mathcal{V}, \text{ where } \text{red}: \mathcal{O}_m \rightarrow \mathcal{O}_m / \mathfrak{a} + 2m\mathfrak{d} \mapsto \mathfrak{a} + 2m\mathfrak{d} / \mathfrak{d}^2$$



# 同济大学 教师备课用纸

3

So,  $\Omega_m$  also leaves the space  $\mathcal{Z}_{hm, d}$  invariant.

So, using the first decomposition of  $\mathcal{Z}_{hm}$  (yesterday), we ~~just~~ can get

$$\text{tr}(\varepsilon, \mathcal{Z}_{hm}) = \sum_{\substack{I=(d) \\ \mathbb{Z}^2/m\mathbb{Z}}} \text{tr}(\varepsilon, \mathcal{Z}_{hm, d}^{\text{new}}(U_d)).$$

But from a lemma yesterday which says

$U_d$  is an  $\Omega_m$ -module homomorphism we get

$$\text{tr}(\varepsilon, \mathcal{Z}_{hm, d}^{\text{new}}(U_d)) = \text{tr}(\varepsilon, \mathcal{Z}_{hm, d}^{\text{new}}),$$

Hence,  $\textcircled{1} \textcircled{2}$  follows.

Now we prove the claim.

Consider the right hand side of ~~the claim and~~ (2) we put  $\textcircled{1} \textcircled{2}$  into it.

$$\begin{aligned} & \sum_{\substack{I=(d) \in \mathcal{P} \\ \mathbb{Z}^2/m\mathbb{Z}}} \mu(I) \sum_{\substack{I'=(d') \in \mathcal{P} \\ \mathbb{Z}^2/m\mathbb{Z}}} \text{tr}(\varepsilon, \mathcal{Z}_{hm, d'}^{\text{new}}) \\ &= \sum_{\substack{I''=(d'') \in \mathcal{P} \\ \mathbb{Z}^2/m\mathbb{Z}}} \text{tr}(\varepsilon, \mathcal{Z}_{hm, d''}^{\text{new}}) \underbrace{\sum_{\substack{I \in \mathcal{P} \\ I|I''}} \mu(I)}_{I''=1} = \text{tr}(\varepsilon, \mathcal{Z}_{hm}^{\text{new}}). \end{aligned}$$

Now we put (2) into (1) and get

$$\begin{aligned} \dim \mathcal{Z}_{hm}^{\text{new}, \chi} &= \frac{1}{|\Omega_m|} \sum_{\varepsilon \in \Omega_m} \overline{\chi(\varepsilon)} \sum_{\substack{I=(d) \in \mathcal{P} \\ \mathbb{Z}^2/m\mathbb{Z}}} \mu(I) \text{tr}(\varepsilon, \mathcal{Z}_{hm, d}^{\text{new}}) \\ &= \frac{1}{|\Omega_m|} \sum_{\substack{I=(d) \in \mathcal{P} \\ \mathbb{Z}^2/m\mathbb{Z}}} \mu(I) \sum_{\varepsilon \in \Omega_m} \overline{\chi(\varepsilon)} N(\text{gcd}(\varepsilon-1, \frac{2hd}{d^2})) \end{aligned}$$



Indeed, ~~now we~~ <sup>for</sup> compute  $\text{tr}(\varepsilon, \mathbb{Z}h_{m,d})$ .

we find  $= \{ x \in \mathbb{Z}^n / m\mathbb{Z}^n \mid (\varepsilon - 1)x \equiv 0 \pmod{m} \}$

$$\text{tr}(\varepsilon, \mathbb{Z}h_{m,d}) = N(\text{gcd}(\varepsilon - 1, \frac{m}{d^2}))$$

So, after ~~arranging~~ <sup>canceling</sup> the terms and using the multiplicativity of the  $\mu$  function we obtain the result. We leave as an exercise to

~~Now we want to~~ write the double sum in the claimed  $\mathbb{N}$ -form. For simplicity we assume  $m$  is squarefree.

So, all spaces occurring in the decomposition of  $\mathbb{Z}h_m$  or nonzero ~~have~~  $\mathbb{Z}h_m$  The  $\mathbb{Z}$ -factors  $\mathbb{Z} \oplus$  are irreducible  $\mathbb{N}(p, \varepsilon)$ -modules. For finding the 1-dimensional pieces assume for simplicity that  $m$  is square free.

In this case we have  $\mathbb{Z}h_m^{\text{new}} = \mathbb{Z}h_m$  and dimension formula becomes

~~dim  $\mathbb{Z}h_m$~~ 

$$\dim \mathbb{Z}h_m^{\text{new}} = \frac{2^n}{2^s} \prod_{\substack{p|m \\ p \text{ even}}} (N(p) + \mu_p(p)) \prod_{\substack{p|m \\ p \text{ odd}}} \frac{1}{2} (N(p) + \mu_p(p))$$

Here  $s$  is the # of even prime divisors of  $m$ . Note that all factors occurring in this  $\mathbb{N}$ -formula are natural integers.

§ 7. Classification of singular Jacobi Forms:

Theorem 3  $\dim \mathbb{Z}h_m^{\text{new}} = 1$

$\Leftrightarrow$   $\chi$  is totally odd,  $\mathbb{Z}$  splits completely, and  $m$  satisfies one of the following cases:

- $m = 2\theta$  (ex:  $m = 6\theta$ ,  $\mathbb{Z}$  splits completely)
- $m = 2\theta q_1 \cdots q_r$  :  $q_i \equiv 3 \pmod{4}$  and is of degree 1.

Explanation of "Totally real" (see p. 5a)

(5)



教师备课用纸

Remark 1: <sup>we do not yet know whether the</sup> theorem is true without the restriction to the class number 1 case. True ~~for all cases~~.

Remark 2: If  $[K:\mathbb{Q}] = 2$  then  $\mathfrak{o} = (\sqrt{D})$ ,

where  $D$  is the discriminant of  $K$ . Hence  $m\mathfrak{o} = 2\mathfrak{o}$  implies that  $m = \frac{2}{\sqrt{D}}\epsilon$ ,  $\epsilon \in \mathfrak{o}^\times$ . <sup>Key</sup> If  $\epsilon \notin \mathfrak{o}$  and  $\epsilon' < 0$  since  $m > 0$ , i.e.  $N(\epsilon) = -1$ . (f.u.  $\neq K$  have norm -1)

Pf: Assume  $\dim \mathfrak{Thm}^{\times} = 1$ .

Then since each factor occurring in the formula is an integer, we have that

$$s = n \quad \left( \begin{array}{l} \text{since } s \leq n \\ \text{since } s \leq n \end{array} \right)$$

$$\left. \begin{array}{l} N(p) = 2 \\ N_f(p) = -1 \end{array} \right\} \text{ for } p \mid m \text{ even}$$

and

$$\left. \begin{array}{l} N(p) = 3 \\ N_f(p) = -1 \end{array} \right\} \text{ for } p \mid m \text{ odd.}$$

Note that  $s = n$  means that  $\mathfrak{o}$  splits completely.

Vice versa if these <sup>have</sup> conditions hold, <sup>then</sup>  $\dim \mathfrak{Thm}^{\times} = 1$ .

Thm Let  $[K:\mathbb{Q}] = 2$ , say,  $K = \mathbb{Q}(\sqrt{D})$  with

$D$  fund. disc.

Theorem: Assume  $\dim \mathfrak{Thm}^{\times} = 1$ . Let  $\epsilon \in \mathfrak{o}^\times$  with  $\epsilon > 1$ .

Case 1:  $m\mathfrak{o} = 2\mathfrak{o}$  (~~for the first two cases~~). Then we have  $\mathfrak{Thm}^{\times}$  is spanned by

$$\mathfrak{Thm}^{\times}_{m\mathfrak{o}}(\tau, \epsilon) = \sum_{\tau \in \mathfrak{o}} \left( \frac{-4}{N(\tau)} \right) \eta \frac{\tau^2}{8w} \tau^{\frac{r}{w}}, \quad w = \epsilon \sqrt{D}$$

with  $\epsilon$  the fund. unit s.t.  $\epsilon > 1$ .

For  $p \nmid m$  ( $p$  prime ideal),

define  $\epsilon_p \in \mathcal{O}/m\mathcal{O}$  by

$$\begin{cases} \epsilon_p \equiv -1 \pmod{2p} \\ \epsilon_p \equiv +1 \pmod{m/p} \end{cases}$$

(59)

The  $\epsilon_p \in \mathcal{O}_m$ . (i.e.  $\epsilon_p^2 \equiv 1 \pmod{m}$ )

Now let  $\chi \in \hat{\mathcal{O}}_m$ .  
Set  $f := \prod_{p|m} p$

$$\chi(\epsilon_p) = -1$$

It is easily verified that

$$\chi(\epsilon) = f \cdot \gcd\left(\frac{\epsilon+1}{2}, f\right) \quad \forall \epsilon \in \mathcal{O}_m$$

(Indeed, this is true for all  $\epsilon_p$ , and the  $\epsilon_p$  generate  $\mathcal{O}_m$ ).

$\chi$  is called totally odd if  ~~$f = m$~~

$$\text{Def. } \chi(\epsilon_p) = -1 \quad \forall p|m$$

(i.e.  $f = m$ )



Case 2:  $m\partial = 6\theta$

~~The form  $\mathbb{C}(z)$  has norm -1~~  $\mathbb{Z}h_m^X$  is  
spanned by

$$\mathcal{U}_{m\partial}^k(\tau, z) = \sum_{r \in \mathbb{Q}} \left( \frac{12}{Nr} \right) q^{\frac{r^2}{24w}} z^{\frac{r}{w}}, \quad w = \varepsilon N D,$$

where again  $\varepsilon \geq 1$  and unit  $\geq 1$ .

Case 3:  $m\partial = 2\theta$  with  $\pi(3)$ ,  $N(\pi) = -3$ . Then  
 $\mathbb{Z}h_m^X$  is spanned by

$$\mathcal{U}_{m\partial}^k(\tau, z) = \sum_{r \in \mathbb{Q}} \left( \frac{-4}{Nr} \right) \left( \frac{r}{\pi} \right) q^{\frac{r^2}{24w}} z^{\frac{r}{w}}.$$

### § 8. Supplementary Remarks:

Not so obvious to construct explicitly Jacobi  
forms over  $K \neq \mathbb{Q}$ . of course

§ 8. Supplementary remarks

Not so obvious to construct explicitly

Jacobi form over  $K \neq \mathbb{Q}$  - id

Of course, one can take powers of the singular form. Moreover, they do not even exist for arbitrary  $k$  (e.g.  $k=2$  with  $n \geq 20$ )

But there is another method: A generalization of "Taylor expansion around  $z=0$ " explained by Shoykha

for  $J_{k,11}$ . This will be published in a joint paper. As an example:

Application of this method to  $k=2, n=20$  (note ~~there are no~~ singular forms for  $J_{2,20}$  in  $\mathbb{Q}$ )

(note ~~there are no~~ singular forms for  $J_{2,20}$  in  $\mathbb{Q}$ )

Result  $K = \mathbb{Q}(\sqrt{5})$ ,  $0 < \sigma_n$

$(\frac{2}{3}) = -1$

$M_{\sigma}^k := \bigoplus_{k \in H} M_k(SL(2, \sigma))$  (Ring of HMF/K)

$J_{\sigma, \epsilon/\sqrt{5}} := \bigoplus_{k \in H} J_{k, \epsilon/\sqrt{5}}^k$  ( $\epsilon = \frac{1+\sqrt{5}}{2}$ ,  $\sigma_n > 1$ )

Note  $J_{\sigma, \epsilon/\sqrt{5}}$  is  $M_{\sigma}^k$ -module.  $(f, \phi) \mapsto f \cdot \phi$

The (Gandlach 63)

$M_{\sigma}^k = \mathbb{C} [G_2, G_5, G_6, G_{15}] / (G_{15}^2 - \text{Pol}(G_2, G_5, G_6))$

where  $G_k$  is a certain HMF of weight  $k$ , respectively.

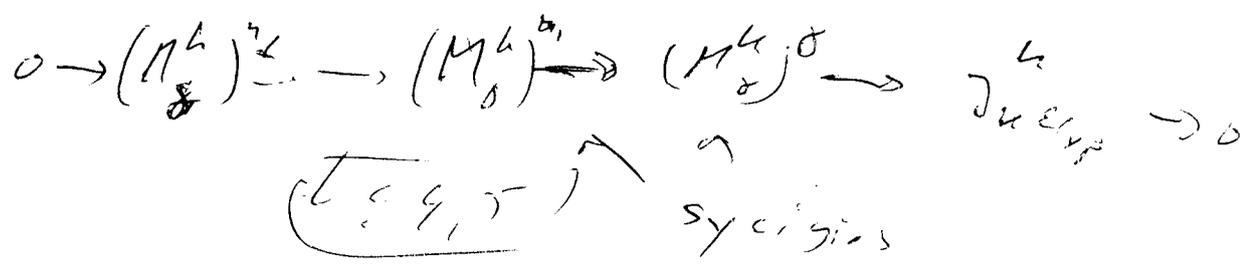
P. T. G.

The (Dayton - Hayashi - Muray)  $J_{X_i, \epsilon/\nu}^k$  is a  $M_k$ -module of rank  $g$ . More precisely,  
 $J_{X_i, \epsilon/\nu}^k \cong \bigoplus_{i=1}^g F_{X_i} \otimes [G_2, G_5, G_6]$

where  $F_{X_i}$  is a certain  $J^k$ -module for  $i$   
 $\lambda_1, \lambda_2, \dots, \lambda_g = 2, 4, 5, 6, 7, 11, 14, 15$ .

~~$J_{X_i, \epsilon/\nu}^k \cong \bigoplus_{i=1}^g (M_k^{\lambda_i})^{\otimes g}$~~

$\cong \sum_{i=1}^g F_{X_i} \otimes M_k^{\lambda_i}$



$$\mathcal{J}_k \in \mathcal{J}_{\frac{1}{2}, \frac{2k}{\sqrt{4k-3}}}$$

- For  $K \neq \mathbb{C}$  one has in addition  $\sigma_{\mathcal{J}}(4mt-r^2) \geq 0$   
 (Koecher Principle) (proof later) for  $K = \mathbb{C}$  we have to add this condition to the definition of Jacobi forms

- If  $\phi \in \mathcal{O}$  satisfies a stronger condition, namely:  
 $c_{\phi}(t, r) = 0$  unless  $4mt-r^2 \gg 0$ , then  $\phi$  is called a cusp form.

- If  $c_{\phi}(t, r) \neq 0$  only for  $4mt-r^2 = 0$ , then  $\phi$  is called singular.

Thm: we have

~~We shall see this Thm later.~~  
 From now on we assume  $m > 0$ . We need this for the so-called  $q$ -expansion which we shall explain non-entirely but very roughly by the theta expansion for  $\phi \in \mathcal{J}_{k, m}$ :

$$\phi(\tau, z) = \sum_{\rho \in \mathcal{O}^*/2m\mathcal{O}} h_{\rho}(\tau) \vartheta_{m, \rho}(\tau, z),$$

where

$$\vartheta_{m, \rho}(\tau, z) = \sum_{\substack{r \in \mathcal{O}^* \\ r \equiv \rho \pmod{2m\mathcal{O}}} } q^{\frac{r^2}{4m}} \zeta^r$$

(e.g. in  $\mathcal{J}_{k, m}$  case + Koecher principle etc.)  
 Validity:  $\mathcal{J}_{k, m} \subset \mathcal{O}$  unless  $m > 0$  (open part singular at  $z=0$  has a  $\zeta$ -to  $\tau$  map (Koecher))

and  $h_{\rho} \in H_{k, \rho}(\mathbb{H}^n)$ .

For the sake of simplicity  $\lambda=1$

Proof: Let  $D = -4mt+r^2$   
 Denote  $c_{\phi}(D, r) := c_{\phi}(\frac{r^2-D}{4m}, r)$

Using (b) of definition of Jacobi forms, we write

$$\phi(\tau, z) = \sum_{\substack{\frac{r^2-D}{4m} \in \mathcal{H}^n \\ r \in \mathcal{O}^*}} c_{\phi}(D, r) q^{\frac{r^2-D}{4m}} \zeta^r \quad (1)$$

$$(2) = \sum_{r \in \mathcal{O}^*} q^{\frac{r^2}{4m}} \zeta^r \sum_{\substack{D \in \mathcal{O} \\ \frac{r^2-D}{4m} \in \mathcal{H}^n}} c_{\phi}(D, r) q^{\frac{-D}{4m}}$$

From (a) of the same defn we have

$$\phi|_{k, m}([ \lambda, M ]) = \phi \quad \text{for } \lambda, M \in \mathcal{O}$$

$$\begin{aligned} \mathcal{J}(\mathcal{O}) &= \mathcal{S}(\mathbb{H}^n, \mathcal{O}) \times \mathcal{H}(\mathcal{O}) \\ \mathcal{H}(\mathcal{O}) &= (\mathcal{O} \times \mathcal{O}) \cdot \mathcal{O} \end{aligned}$$

$$\phi_{k,m} [\lambda, \theta] (\tau, z) = \phi(\tau, z + \lambda\tau) e^{(m\lambda^2\tau + 2m\lambda z)}$$

$$= \phi(\tau, z)$$

So, (1) becomes

$$(3) \phi(\tau, z + \lambda\tau) e^{(m\lambda^2\tau + 2m\lambda z)} = \sum_{\substack{r' \equiv -D \\ 4m}}^{\frac{r'-D}{4m}} \frac{1}{r'e^{-1}} C_\phi(D, r') q^{\frac{r'^2-D}{4m}} \zeta^{r'}$$

But  $\phi(\tau, z + \lambda\tau) = \sum_{\substack{r' \equiv -D \\ 4m}}^{\frac{r'-D}{4m}} \frac{1}{r'e^{-1}} C_\phi(D, r') q^{\frac{r'^2-D}{4m}} \zeta^{r'} e^{(r'\lambda\tau)}$

↓ note  
 $\zeta^{r'} = e(rz)$   
 $\zeta^{r'+\lambda\tau} = e(rz + \lambda\tau)$   
 $\zeta^{r'} = e(\tau)$

$$\text{So, } \phi(\tau, z + \lambda\tau) e^{(m\lambda^2\tau + 2m\lambda z)} = \sum_{\substack{r' \equiv -D \\ 4m}}^{\frac{r'-D}{4m}} \frac{1}{r'e^{-1}} C_\phi(D, r') q^{\frac{r'^2-D}{4m}} \zeta^{r'} e^{((m\lambda^2 + r'\lambda)\tau + 2m\lambda z)}$$

$$= \sum_{\substack{r' \equiv -D \\ 4m}}^{\frac{r'-D}{4m}} \frac{1}{r'e^{-1}} C_\phi(D, r') q^{\frac{r'^2-D}{4m} + m\lambda^2\tau + r'\lambda\tau} \zeta^{r'+2m\lambda\tau}$$

$$= \sum_{\substack{r' \equiv -D \\ 4m}}^{\frac{r'-D}{4m}} \frac{1}{r'e^{-1}} C_\phi(D, r') q^{\frac{(r'+2m\lambda)^2 - D}{4m}} \zeta^{r'+2m\lambda}$$

If we do the substitution  $r'+2m\lambda \rightarrow r$ , then we see from using (3) that

$$C_\phi(D, r) = C_\phi(D, r - 2m\lambda) \quad \forall \lambda \in \mathbb{Z}$$

That means  $C_\phi(D, r)$  depends only on  $r \pmod{2m}$ .

Hence, (3) becomes

$$\phi(\tau, z) = \sum_{r \equiv -D/2m} \frac{1}{r'e^{-1}} \sum_{\substack{r' \equiv -D \\ 4m}}^{\frac{r'-D}{4m}} q^{\frac{r'^2}{4m}} \zeta^{r'} = \sum_{\substack{r' \equiv -D \\ 4m}} \frac{1}{r'e^{-1}} C_\phi(D, r') q^{\frac{r'^2-D}{4m}} \zeta^{r'}$$

$\underbrace{\sum_{\substack{r' \equiv -D \\ 4m}} \frac{1}{r'e^{-1}} q^{\frac{r'^2}{4m}} \zeta^{r'}}_{\text{np}(\tau)}$

Then

$$\phi(\tau, z) = \sum_{r \equiv -D/2m} \text{np}(\tau) \text{np}(r\tau)$$